



INSTITUTE FOR LAW AND FINANCE
GOETHE-UNIVERSITÄT FRANKFURT AM MAIN

ILF Guest Lecture

**Dr. Mathias Hanten, M. B. L. - HSG
Rechtsanwalt
DLA Piper UK LLP**

Mathias Hanten is a partner based in DLA Piper's Frankfurt office and heads the Frankfurt Financial Services Regulatory team. His team focuses on the regulatory issues that arise in banking, investment management and capital markets, particularly on regulatory licensing and notification procedures, and on capital adequacy questions under CRD IV and Basel III, including hybrid capital issues, outsourcing agreements and deposit protection. He also advises on regulatory matters in cross-border M&A, restructuring transactions and under the European Passports (MiFID, Banking Directive, Payment Services Directive and AIFMD). His pro bono activity includes working for the Romanian Helsinki Committee on the Right of Assembly and he also represents immigrants seeking political asylum in Germany.

His academic experience includes lecturing in banking law at the University of Applied Science in Trier and he is a frequent speaker on financial services regulation and other legal topics at institutes including the Institute for Law and Finance, the Graduate College 'Global Financial Markets', Universities in Jena and Halle, the Financial Services Lawyers Association (FSLA) in London, the Association of Foreign Banks in Frankfurt and the St. Galler Tagung zur Finanzmarktregulierung in Zurich. Mathias Hanten publishes in legal and financial journals, commentaries on the Banking Act and the German Capital Investment Act and he has also co-edited a benchmark book on outsourcing in the Financial Services Industry. He is currently co-editing a commentary on the German Deposit Guarantee and Investor Compensation Act that will be published in late 2014. Mathias Hanten has served as an expert on the Financial Committee of the German Parliament and he is an active member in several societies that focus on the History of the Law.

TOPIC: DEBT FUNDS - A REGULATORY CHALLENGE ?

DATE AND TIME: THURSDAY, 10 OCTOBER 2013, 6.30 P.M.

Location: Room "DZ Bank", Ground Floor, House of Finance, Goethe-University, Campus-Westend, Grüneburgplatz 1, 60323 Frankfurt am Main

I will attend I will be accompanied by _____

Name, Institution: _____

Address: _____

Phone: _____

E-mail: _____

FAX - REPLY: +49 (0)69 798-33921

Christina Hagenbring, Tel.: +49 (0)69 798-33628; Fax: +49 (0)69 798-33921