

ECB - The New Regulator

Institute for Law and Finance
Frankfurt, 21 October 2014

- 9.00 Registration and Welcome Coffee
- 9.30 Greetings, **Dr. Mathias Hanten, M.B.L. - HSG (St. Gallen)**
Rechtsanwalt | Partner | DLA Piper UK LLP, Frankfurt
- 9.45 *ECB's view:* **Alexander Karpf**
Adviser Supervisory Law Division | ECB Frankfurt
- 10.30 NCAs's role
- 11.15 Coffee Break
- 11.30 Significant Supervised Entity
- 12.15 *Academic view:* **Prof. Dr. Dirk Zetzsche,**
Professor of Law, Propter Homines Chair for Banking and Securities Law, Institute for Financial Services, University of Liechtenstein; Director, Center for Business & Corporate Law, Heinrich Heine-University Düsseldorf
- 13.00 Lunch Break
- 14.00 *Swiss view:* **Dr. Hans Kuhn, LL. M. (New Orleans)**
Rechtsanwalt | Of Counsel | Schellenberg & Wittmer, Zürich (former General Counsel SNB)
- 14.45 *Lawyers's View:* **Dr. Mathias Hanten, M.B.L. - HSG (St. Gallen)**
Rechtsanwalt | Partner | DLA Piper UK LLP, Frankfurt
- 15.30 Coffee Break
- 15.45 *Auditors's view:* **Dirk Auerbach**
Wirtschaftsprüfer und Steuerberater | Partner | KPMG, Frankfurt
- ca. 16.30 End of Conference